

1 **Tracking the fin trade: Genetic stock identification in Western**  
2 **Atlantic scalloped hammerheads sharks (*Sphyrna lewini*)**

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24 mixed stock analysis.

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26 **Running head:** Shark fin trade genetic tracking

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29 ABSTRACT: Location or stock-specific landings data are necessary to improve  
30 management of shark stocks, especially those imperiled by overexploitation to supply the  
31 international shark fin trade. In the current absence of catch monitoring directly at  
32 extraction sites, genetic stock identification of fins collected from major market supply  
33 chain endpoints offers an overlooked but potentially useful approach for tracing the fins  
34 back to their geographical or stock of origin. To demonstrate the feasibility of this  
35 approach, we used mitochondrial control region (mtCR) sequences to trace the broad  
36 geographical origin of sixty two Hong Kong market-derived *Sphyrna lewini* fins.  
37 Twenty-one percent of these fins were derived from the Western Atlantic, where this  
38 species is listed as “Endangered” by the International Union for the Conservation of  
39 Nature (IUCN). We also show that *S. lewini* mtCR sequences are geographically  
40 segregated in the Western Atlantic (overall  $\Phi_{ST} = 0.74$ ,  $n = 177$  sharks), indicating that  
41 breeding females either remain close to or home back to their natal region of origin for  
42 parturition. Mixed stock analysis simulations show that it is possible to estimate the  
43 relative contributions of these mitochondrial stocks to fin mixtures in globally-sourced  
44 trade hubs. These findings underscore the feasibility and utility of genetic stock  
45 identification of market-derived shark fins to obtain essential data on exploitation levels  
46 not otherwise available to productively inform stock assessment and management of *S.*  
47 *lewini* and potentially other fished shark species.

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## INTRODUCTION

52

53 Strong demand for shark fins is arguably the major driver of shark mortality  
54 globally, with estimates of between 26 and 73 million sharks killed annually to supply the  
55 fin markets (Clarke et al. 2006). In the context that most shark fisheries are unregulated,  
56 this high level of exploitation is thought to have generated unsustainable fishing pressure  
57 on many shark stocks worldwide (Bonfil 1994, Rose 1996, Clarke et al. 2006).

58 A central goal of most fisheries management and conservation is to manage the  
59 resource on a stock-specific basis to preserve the diversity and evolutionary potential of  
60 the species as a whole (Dizon et al. 2002). Achieving these stock-specific management  
61 goals for sharks has been difficult for several reasons. For one, few shark stocks have  
62 been fully delineated using genetic data even though this is an integral part of stock  
63 assessment (FAO 2000, Heist 2005). Another serious problem is that many countries lack  
64 the resources, infrastructure or political will to effectively monitor their shark fishery  
65 landings at local extraction sites. There remain, therefore, glaring deficiencies in the  
66 species and stock-specific catch data required for reliable, quantitative shark stock  
67 assessments (Bonfil 1994, FAO 2000, ICCAT 2005). In the absence of traditional  
68 monitoring at extraction sites, surveying the major market supply chain endpoints has  
69 been proposed as a valuable alternative to derive data on species and stock exploitation  
70 levels (Clarke et al. 2006, Baker 2008).

71 One shark species whose stocks are of particular concern is the scalloped  
72 hammerhead, *Sphyrna lewini*, in the Western Atlantic. Fins from this mainly coastal,  
73 globally distributed, large hammerhead species fetch premium market prices due to their  
74 large size and high “fin needle” content (\$US 100 -120kg<sup>-1</sup>; Abercrombie et al. 2005),

75 and this species appears to have collapsed in the western North Atlantic and Gulf of  
76 Mexico due to overexploitation (Baum et al. 2003, Myers et al. 2007). Correspondingly,  
77 Western Atlantic *S. lewini* have been listed as “Endangered” (EN A2bd+4bd) since 2006  
78 on the International Union for the Conservation of Nature’s (IUCN) Red-List of  
79 Threatened Species.

80 An estimated 1-3 million *S. lewini* and its congener *Sphyrna zygaena* are killed  
81 annually worldwide to supply the fin trade (Clarke et al. 2006), but the stock-specific  
82 contributions of each species to this total remains unknown. Hammerhead sharks are not  
83 currently included on any international management or trade agreements (e.g. CITES),  
84 which means that *S. lewini* will primarily be assessed and managed under the auspices of  
85 domestic fishery regulations or regional fisheries management organizations. This  
86 regional level of management underscores the need for geographical origin and/or stock-  
87 specific landings data for *S. lewini*, and indeed threatened shark species generally.

88 Genetic stock identification (GSI) methods employ natural spatial partitions in  
89 genetic characters to estimate the stock composition of a fishery (Shaklee & Currens  
90 2003). In vertebrate species where females stay close to their birthplace or home back to  
91 it for parturition or spawning (“natal homing”), the mitochondrial control region (mtCR)  
92 locus is often an excellent marker for reconstructing the contribution of distinct  
93 spawning, rookery or nursery regions (hereafter referred to as “mitochondrial stocks”) to  
94 fishery catches. GSI using mtCR sequences have been successfully used to source  
95 catches of bony fish, sea turtles and marine mammals back to their stock/birthplace of  
96 origin (e.g. Waldman et al. 1996, Laurent et al. 1998, Baker et al. 2000) but have never  
97 been applied to sharks.

98           Given the high market value of fins from *Sphyrna lewini* and its IUCN  
99   Endangered status in the Western Atlantic, we wished to determine if GSI methods could  
100   be used to determine whether fins from *S. lewini* originating in this region occurred at  
101   detectable frequencies in the contemporary fin market. Findings from a global population  
102   genetic study of this species (Duncan et al. 2006) suggests this may be feasible: *Sphyrna*  
103   *lewini* mtDNA lineages exhibit strong population structure on a global scale and no  
104   mtCR haplotypes were shared between the Atlantic and the Indian or Pacific oceans,  
105   although some Indo-Pacific haplotypes were closely related to Atlantic haplotypes.  
106   Correspondingly, the first objective of our study was to see if mtCR sequences could be  
107   used to trace *S. lewini* dried fins collected in one of the world's largest fin markets (Hong  
108   Kong) back to a broad geographical origin.

109           Western Atlantic stock assessments performed to inform management and  
110   conservation efforts require that the stocks being assessed are clearly defined, and also  
111   hinge upon the collection of landings and trade data on a finer geographic scale. Duncan  
112   et al. (2006) included Western Atlantic *Sphyrna lewini* in their global study, but their  
113   sample sizes from this region were too small to examine finer scale stock delineation.  
114   Therefore, the second objective of our study was two-fold: (1) to better delineate  
115   geographic structuring of *S. lewini* mtDNA lineages within the Western Atlantic and (2)  
116   to determine whether it would be feasible to use mtCR sequence data to source fins in the  
117   market to their natal region of origin on a finer geographic scale within the Western  
118   Atlantic. Mixed stock analyses (MSA) have been developed for the latter application and  
119   carry a level of error that is inversely related to the intensity of stock structure in the  
120   species of interest (Waldman et al. 1996, Laurent et al. 1998, Baker et al. 2000, Bowen et

121 al. 2007). We therefore used a simulated MSA to determine whether *S. lewini* mtCR  
122 sequences are sufficiently structured in the Western Atlantic to permit accurate  
123 reconstruction of individual mitochondrial stock contributions to the globally sourced fin  
124 mixtures found in major markets.

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## MATERIALS AND METHODS

127 Despite severe sampling constraints associated with limited market access, dried  
128 fin samples were obtained from 11 major Hong Kong fin traders (Clarke et al. 2006) and  
129 species-specific multiplex PCR confirmed that 62 fins were from *Sphyrna lewini*  
130 (Abercrombie et al. 2005). Steps were taken during sampling to ensure that each fin came  
131 from a different animal (e.g. by sampling the same fin type at the same trader). DNA was  
132 isolated from ~ 20 mg of each dried fin sample (DNeasy kit, Qiagen, Valencia, CA,  
133 U.S.A.). PCR amplification and mtCR sequencing protocols followed Duncan et al.  
134 (2006), producing a partial mtCR sequence of 547 bp from the first domain for analysis.  
135 Each fin was allocated to a broad geographical origin (i.e. Western Atlantic, Eastern  
136 Atlantic, or Indo-Pacific) by matching its haplotype (by eye) to the geographic  
137 distribution of mtCR haplotypes obtained from the combined baseline genetic datasets of  
138 Duncan et al. (2006), Ovenden et al. (2009) and the present study (combined n = 452  
139 wild-caught, globally distributed individuals). The evolutionary relationships of “novel”  
140 market fin haplotypes not recorded in any of these three surveys to the known wild-  
141 caught haplotypes were assessed using a statistical parsimony network constructed in  
142 TCS 4.1 (Clement et al. 2000).

143           For finer-scale *Sphyrna lewini* stock delineation within the Western Atlantic, we  
144 combined the Western Atlantic mitochondrial DNA sequences from Duncan et al. (2006)  
145 (total n = 37; distribution: U.S. Atlantic n = 16; U.S. Gulf of Mexico n = 16; Panama  
146 Atlantic n = 2; Brazil n = 3) with new sequences that we generated (n = 140). We thus  
147 analyzed mtCR sequences from a total of 177 wild-caught animals sampled from four  
148 locations: U.S. Atlantic (n = 53), U.S. Gulf of Mexico (n = 45), Central American  
149 Caribbean (n = 22) and Brazil (n = 57), providing wide coverage of the species' Western  
150 Atlantic range. DNA isolation and mtCR sequencing protocols employed for these  
151 samples were the same as those used for the market-derived fin samples. Genetic  
152 diversity indices were calculated in DnaSP 4.0 (Rozas et al. 2003). Genetic  
153 differentiation ( $\Phi_{ST}$ ; Jukes-Cantor distances) between the sampling sites and test of their  
154 significance was calculated in Arlequin 2.001 (Schneider et al. 2000), using AMOVA. A  
155 statistical parsimony network was constructed for the observed Western Atlantic  
156 haplotypes in TCS 4.1 (Clement et al. 2000).

157           To assess the utility of using mixed stock analysis (MSA) for reconstructing  
158 individual Western Atlantic stock contributions to market-derived mixtures of *Sphyrna*  
159 *lewini* fins, we ran simulations in a commonly-used (e.g. Bowen et al. 2007) MSA  
160 program (Statistics Program for Analyzing Mixtures (SPAM 3.7b):  
161 [www.cf.adfg.state.ak.us/geninfo/research/genetics/genetics.php](http://www.cf.adfg.state.ak.us/geninfo/research/genetics/genetics.php)). The SPAM program  
162 randomly resamples the baseline mitochondrial haplotype frequency of each Western  
163 Atlantic mitochondrial stock delineated in this study to construct mixtures (n = 100  
164 animals) with a known (i.e. user-specified) contribution from each stock. The program  
165 then uses maximum likelihood (1000 iterations) to reassign each individual in the mixture

166 to its most probable mitochondrial stock of origin and thus reconstruct the contribution of  
167 each stock to the mixture. The accuracy of future “blind” (i.e. stock contribution  
168 unknown) MSA given the level of structure observed is assessed by the level of  
169 concordance obtained between the mean estimated contribution of each mitochondrial  
170 stock to the mixture and their known, user-specified contributions. We ran multiple  
171 simulations using a wide range of user-specified contributions from each mitochondrial  
172 stock (i.e. ranging from equal to various combinations of highly skewed contributions).

173

174

## RESULTS

175 *Market fin haplotype composition and relationships*

176 The 62 Hong Kong market-derived *Sphyrna lewini* fins were composed of  
177 eighteen mtCR haplotypes (Table 1). Fifty-seven of these fins matched a known mtCR  
178 haplotype from the combined global dataset of Duncan et al. (2006) and the present study  
179 (see next paragraph). Of the five fins with “novel” haplotypes, three (GenBank accession  
180 numbers GU014384, GU014386 and GU014387) had haplotypes very closely related to  
181 Indo-Pacific haplotypes (Fig. 1), indicating an Indo-Pacific origin for these fins. The two  
182 remaining novel fin haplotypes (accession numbers GU014385 and GU014388) were  
183 within one substitution of haplotype 13 (West Africa) and haplotype 26 (Caribbean;  
184 GU014389) (Fig. 2), respectively, but at least six mutational steps from any known Indo-  
185 Pacific haplotype, supporting their Atlantic origin. Thus, all the market derived fins  
186 could be traced to capture origins in the either the Atlantic or Indo-Pacific regions (Table  
187 1). Overall, the 62 *S. lewini* fins originated from the Indo-Pacific (~65%) and both sides



188 of the Atlantic basin (combined total ~34%), with a likely ~21% contribution (13 fins)  
189 from the Western Atlantic region (Table 1).

190

#### 191 *Western Atlantic stock structure*

192 Eight mtCR haplotypes separated by up to six mutational steps were found among  
193 the 177 wild-caught *Sphyrna lewini* sampled from the Western Atlantic (Fig. 2); three of  
194 these haplotypes (H26-H28) were novel (i.e. not found in Duncan et al.'s [2006] global  
195 survey; GenBank accession numbers GU014389, GU014390, GU014391). None of the  
196 Western Atlantic haplotypes were recorded in the Indo-Pacific by Duncan et al. (2006;  
197 n=228) or Ovenden et al. (2009; n=47). Similarly, none of the Indo-Pacific haplotypes  
198 were recorded in our survey of Western Atlantic animals.

199 Western Atlantic *Sphyrna lewini* are structured into at least three distinct  
200 mitochondrial stocks (overall  $\Phi_{ST}=0.74$ ,  $p < 0.000001$ ): the “northern” (comprised of  
201 U.S. Atlantic and Gulf of Mexico animals, pairwise  $\Phi_{ST}$  non-significant), “central”  
202 (Belize and Panama) and “southern” (Brazil) stocks (Fig. 3, Table 2). Haplotype and  
203 nucleotide diversities were highest in the central mitochondrial stock ( $h = 0.731$  [s.d. =  
204 0.072],  $\pi = 0.0035$  [0.0005]) and lower in both the northern and southern mitochondrial  
205 stocks (northern: U.S. Atlantic and Gulf of Mexico;  $h = 0.399$  and  $0.270$  [s.d. = 0.06 and  
206 0.02],  $\pi = 0.001$  and  $0.0005$  [0.0001 and 0.0001], respectively; southern:  $h = 0.103$  [s.d. =  
207 0.055],  $\pi = 0.0003$  [0.0002]).

208

209

210

211 *Mixed stock analysis*

212           Mixed stock analysis simulations executed using a range of user-specified stock  
213 contributions indicated sufficient structure within the Western Atlantic to allow accurate  
214 mitochondrial stock-specific landings reconstructions to be made (Table 3). The mean  
215 simulation recovered contributions of each mitochondrial stock were very close to the  
216 user-specified contributions with narrow deviation around the mean. This close  
217 concordance was true regardless of the degree of skew and relative contributions of the  
218 northern, central or southern *Sphyrna lewini* mitochondrial stocks used in the mixture  
219 (Table 3). We observed haplotypes typical of each stock in the 13 fins collected in the  
220 Hong Kong market that originated in the Western Atlantic (Table 1).

221

222

## DISCUSSION

223 *Market fin provenance*

224           Our survey of a reasonably large and broadly distributed sample of wild-caught  
225 Western Atlantic *Sphyrna lewini* showed no sharing of haplotypes between the Western  
226 Atlantic (n=177) and Indo-Pacific (n=275), consistent with Duncan et al.'s (2006)  
227 findings based on a smaller (n=37) Western Atlantic sample set. This strong signal of  
228 ocean basin-based mitochondrial “endemicity” (or likely near-endemicity) suggests that  
229 allocation of most market-derived fins to at least an ocean-basin origin will be reasonably  
230 robust. Indeed, we were able to exactly match 57 of the 62 market fins to either an  
231 Atlantic or Indo-Pacific haplotype.

232

233           Of note is that seven market fins, that according to the records of the Hong Kong  
trader who supplied them were directly purchased from a West African source, possessed

234 haplotypes identical to the apparently endemic Eastern Atlantic haplotypes found in six  
235 wild-caught animals sampled there by Duncan et al. (2006). If the non-overlapping  
236 haplotype distribution between the Eastern and Western Atlantic is confirmed after  
237 increased sampling efforts in the Eastern Atlantic, this would mean the origin of at least a  
238 portion of *Sphyrna lewini* fins in trade might also be unambiguously allocated to either of  
239 these regions based on their endemic mtCR signature. The observation of non-shared  
240 haplotypes across the Atlantic is consistent with the premise that *S. lewini* movements are  
241 limited by deep ocean expanses (Duncan et al. 2006), and that female *S. lewini* show  
242 fidelity to parturition areas on their natal side of the North Atlantic, as would be expected  
243 based on the primarily coastal nature of this species (<http://www.fishbase.org>).

244         Our small genetic survey of *Sphyrna lewini* fins from the Hong Kong market  
245 revealed that the contemporary trade is sourced from the Indo-Pacific, Eastern Atlantic  
246 and Western Atlantic basins. Assuming the regional haplotype endemicity pattern  
247 distinguishing Eastern and Western Atlantic *S. lewini* is true, Western Atlantic sharks  
248 remain well represented in the Hong Kong market sample (~21% of fins), indicating that  
249 the international shark fin trade remains a threat to the endangered populations of this  
250 region.

251

#### 252 *Western Atlantic mitochondrial stock structure*

253         The high  $\Phi_{ST}$  values (Table 2) between sampling locations demonstrates that  
254 *Sphyrna lewini* mtCR lineages are further structured into at least three geographically  
255 distinct mitochondrial stocks along the eastern American continental margin. This result  
256 contrasts with Duncan et al.'s (2006) global data set findings that *Sphyrna lewini* nursery

257 populations linked by continuous coastline exhibit high connectivity. Interestingly, they  
258 noted very little sharing of haplotypes between the eastern U.S., Panama Atlantic and  
259 Brazil coastlines; however, their sample sizes from these regions were too limited (n=32,  
260 2 and 3 respectively) to detect population differentiation. The pattern of strong  
261 mitochondrial stock differentiation we observed along the Western Atlantic coastline  
262 means one of two things: (1) female *S. lewini* remain close to their natal region of origin  
263 (e.g. Chapman et al. 2009) or (2) females usually return to their natal region to give birth  
264 (“natal homing”). Mitochondrial stock structure has also been described in several other  
265 shark species (Pardini et al. 2001, Keeney et al. 2005, Stow et al. 2006, Schultz et al.  
266 2008, Chabot & Allan 2009) and may prove to be a common characteristic of coastally-  
267 oriented sharks in particular.

268         We note that our finding of strong mitochondrial stock structure in the Western  
269 Atlantic does not preclude male-mediated gene flow, as has been documented in some  
270 other shark species also displaying mitochondrial population differentiation (e.g. Pardini  
271 et al. 2001, Keeney et al. 2005, Schultz et al. 2008). A global survey of the geographic  
272 distribution of nuclear genetic variation in *Sphyrna lewini* based on microsatellite  
273 markers is currently underway to resolve this issue (T. Daly-Engel, University of Hawaii  
274 *pers comm.*). The absence of a nuclear genetic perspective on *S. lewini* population  
275 differentiation in the Western Atlantic notwithstanding, determining the relative  
276 contribution of each mitochondrial stock to fishery landings and international trade is still  
277 valuable. Each mitochondrial lineage represents a discrete pool of birthing females and as  
278 such can be used to track the natal stock-of-origin for products in trade to improve

279 management and conservation practice (e.g. Waldman et al. 1996, Laurent et al. 1998,  
280 Baker et al. 2000).

281         The strong signal of mitochondrial stock structure along the Western Atlantic  
282 coastline is somewhat surprising given the lack of obvious physical barriers (e.g. deep  
283 ocean expanses) to a large mobile animal, and the contrasting high mitochondrial  
284 connectivity seen along continental margins in Indo-Pacific conspecifics (Duncan et al.  
285 2006). However, a generally similar structuring of mtDNA lineages in the Western  
286 Atlantic has been observed in other large, mobile marine vertebrates (e.g. manatees -  
287 Garcia-Rodriguez et al. 1998; blacktip sharks - Keeney et al. 2005). In the absence of  
288 impermeable physical barriers to female-mediated gene flow, it is possible that  
289 reproductive season mismatches at different latitudes or local adaptation of individuals to  
290 either tropical or warm temperate conditions may inhibit female movements or favor  
291 natal homing in these species.

292         Our assessment of mtCR diversity in *Sphyrna lewini* from the Western Atlantic  
293 revealed relatively few haplotypes separated by a small number of mutational steps (Fig.  
294 2), resulting in low haplotype and nucleotide diversities within each region. This low  
295 mtCR diversity may not be a function of overexploitation however, as this pattern was  
296 also typical for *S. lewini* sampled from throughout its global range (Duncan et al. 2006).  
297 The central stock from the Western Atlantic exhibited comparable haplotype and  
298 nucleotide diversity to the most diverse Indo-Pacific mitochondrial stocks examined by  
299 Duncan et al. (2006), while the northern and southern mitochondrial stocks were  
300 intermediate and among the lowest respectively.

301           Adult females are arguably a critical demographic to protect in order to sustain or  
302 rebuild shark populations (Kinney & Simpfendorfer 2008). The pattern of mitochondrial  
303 geographic structure for *Sphyrna lewini* in the Western Atlantic indicates that regional  
304 overfishing has the potential to deplete locally-breeding adult females without significant  
305 replenishment of sharks occurring from elsewhere. As such, recovery of the reportedly  
306 collapsed *S. lewini* population in the U.S. Atlantic and northern Gulf of Mexico (Baum et  
307 al. 2003, Myers et al. 2007) is unlikely to occur by immigration of adult females from the  
308 south or across the Atlantic, regardless of male patterns of movement and reproductive  
309 mixing. Instead, recovery is likely to depend on an amelioration of local-scale fishing  
310 pressure in this region.

311

#### 312 *Mixed Stock Analysis*

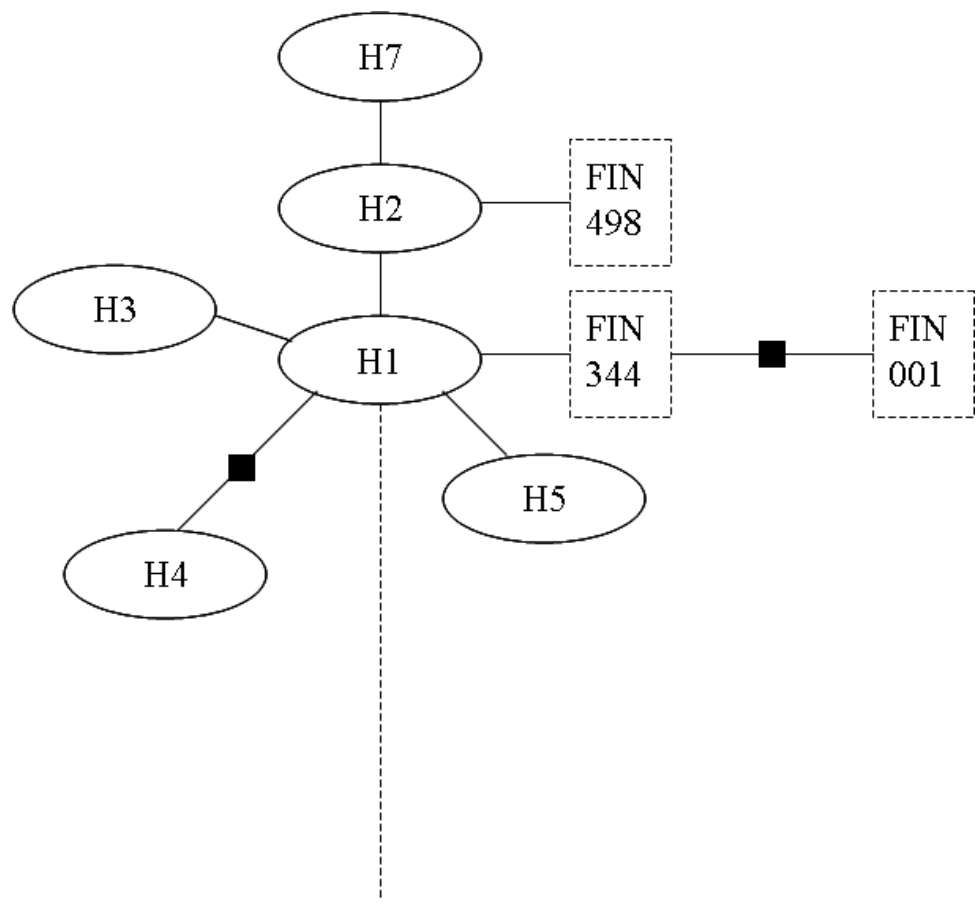
313           The proper management of Western Atlantic *Sphyrna lewini* will require  
314 information about exploitation levels on adult females using specific regions to breed and  
315 individuals derived from the associated nurseries. In this context, results of the MSA  
316 simulations provide proof-of-concept that the level of mitochondrial stock structure  
317 observed may be sufficient to permit accurate reconstructions of the contribution of each  
318 stock to *S. lewini* fin mixtures found in major globally sourced markets. We did not  
319 perform MSA on the market-derived, Western Atlantic fins identified in this study due to  
320 the small sample size (13) of fins available. However, the fact that haplotypes typical of  
321 each of the three mitochondrial stocks were recovered indicates that the contemporary  
322 trade of *S. lewini* fins involves the participation of several Western Atlantic countries and  
323 the exploitation of multiple breeding grounds. These findings underscore the need for

324 investment in stock-specific landings and trade monitoring for a species considered  
325 endangered in this region.

326         Our findings for *Sphyrna lewini* also suggest that for many other coastal sharks  
327 where substantial stock structure is coming to light (e.g. Keeney et al. 2005, Stow et al.  
328 2006, Schultz et al. 2008, Chabot & Allan 2009), surveying major market supply chain  
329 endpoints and applying genetic stock identification (GSI) methods could reveal which  
330 regions and stocks are major contributors to the trade. This information is necessary to  
331 prioritize target areas for conservation and development of much-needed stock-specific  
332 assessment and management measures. Incorporation of additional sampling (more  
333 locations, more individuals and more loci) will allow even more precise assessment of the  
334 provenance of shark fishery landings and market products. Until direct monitoring of  
335 landings at extraction sites becomes economically and politically realistic, we suggest  
336 that genetic monitoring of the market tied to knowledge of stock structure is likely to be a  
337 quicker and more efficient way of obtaining these essential data for exploited shark  
338 species.

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13 STEPS TO NEAREST ATLANTIC HAPLOTYPE

Fig.1.

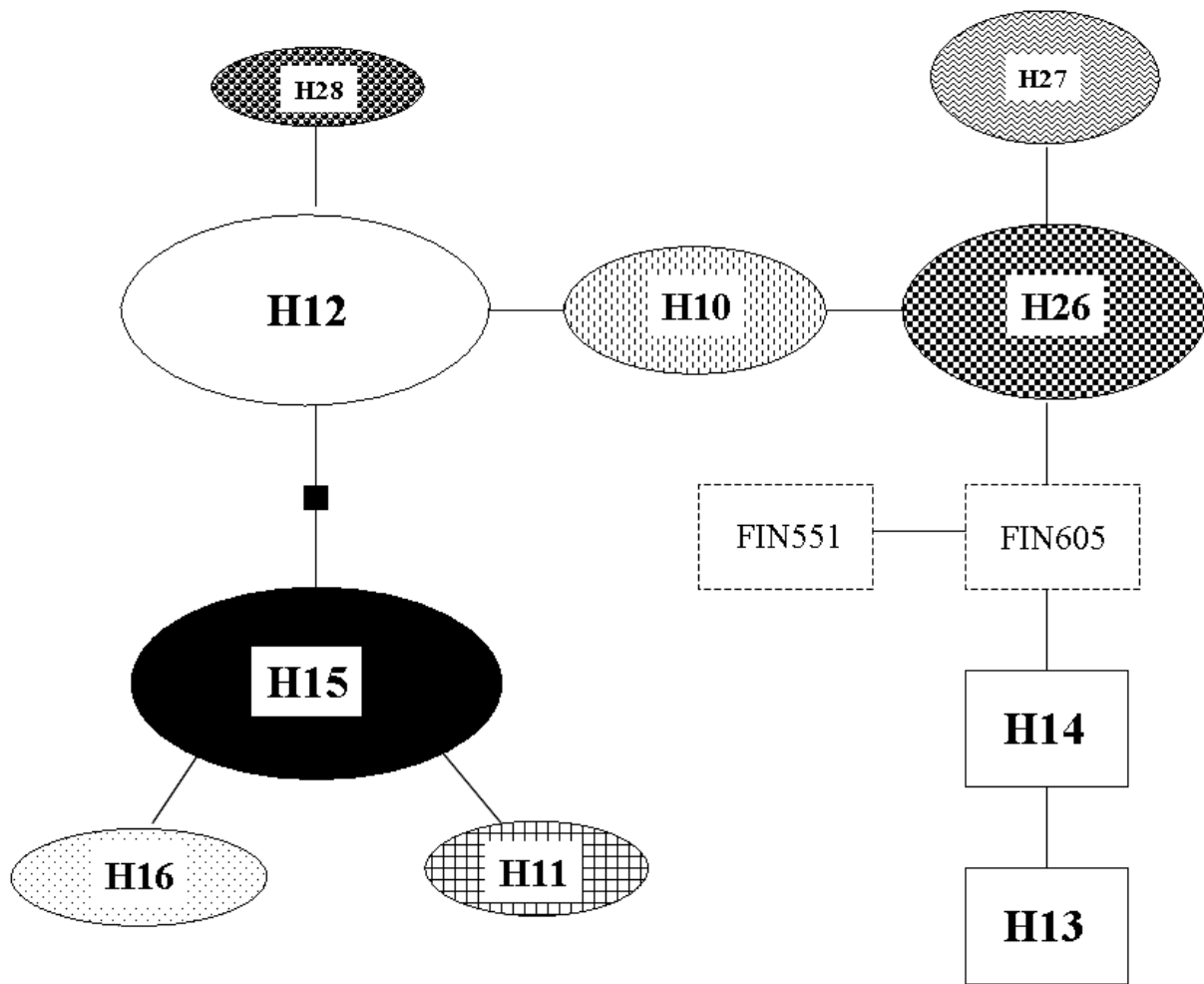


Fig. 2.

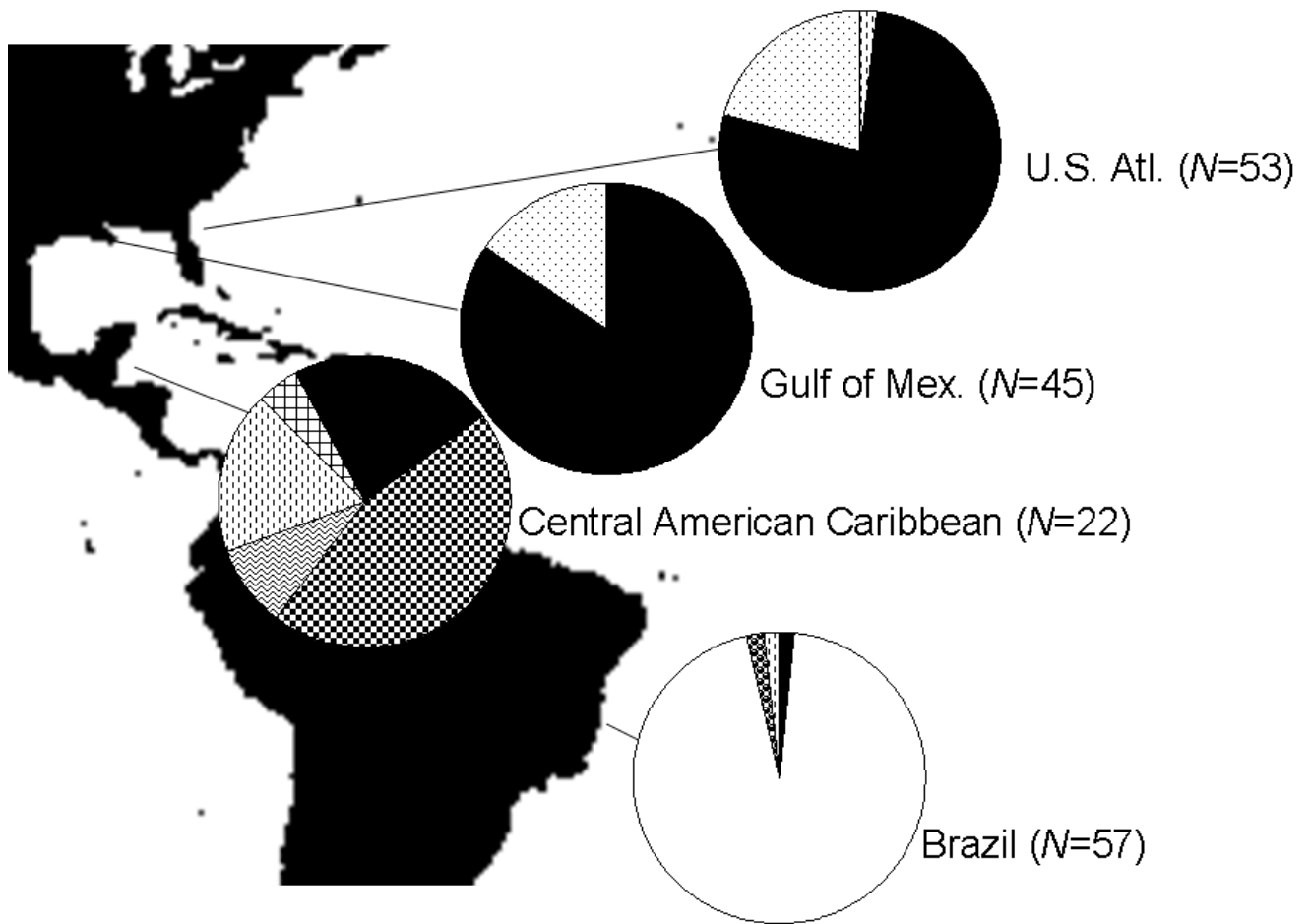


Fig. 3.

## Figure Legends

Fig. 1: Mitochondrial control region (mtCR) haplotype network for *Sphyrna lewini* sampled in the Indo-Pacific by Duncan et al. (2006), with haplotypes (in ovals) numbered to match their designation in that study. The small square represents an inferred mutational step. FIN001, FIN498 and FIN344 (dashed boxes) represent three Hong Kong market fins with novel haplotypes closely related to the Indo-Pacific haplotypes, but at least 14 mutational steps from any known Atlantic haplotype.

Fig. 2: MtCR haplotype network for *Sphyrna lewini* sampled in the Western Atlantic. The small square represents an inferred mutational step. Haplotypes (H10-16) are numbered according to Duncan et al. (2006); Haplotypes (H26-28) were discovered in the present study. Haplotypes contained within an oval have been recorded in the Western Atlantic, and haplotypes in the solid box have only been recorded in the Eastern Atlantic. FIN605 and FIN551 (dashed boxes) represent novel, Hong Kong market fin haplotypes that are at least six mutational steps from any observed Indo-Pacific haplotype.

Fig. 3: Map of the Western Atlantic showing mtCR haplotype frequencies of *Sphyrna lewini* sampled in the U.S. Atlantic (U.S. Atl.), U.S. Gulf of Mexico (Gulf of Mex.), Central American Caribbean and Brazil. Haplotypes are color or pattern-coded to match the haplotype network in Fig. 2. (e.g. haplotype 15 is denoted by the black slices in each pie chart and in Fig. 2).

Table 1: Mitochondrial control region (mtCR) haplotypes of 62 *Sphyrna lewini* dried fins obtained from the Hong Kong market. Haplotype (HAP 1-26) designations are those used in Duncan et al. (2006). Inferred geographic origin of each haplotype is based on Duncan et al. (2006) and the present study. The number of fins with each haplotype is shown in parentheses. The NOVEL ATLANTIC and INDO-PACIFIC categories represent haplotypes not yet observed in surveys of wild-caught animals but are closely related to known Atlantic or Indo-Pacific sequences respectively.

<b>mtCR Haplotype (no. of fins)</b>	<b>Geographic origin</b>	<b>Haplotype Source</b>
HAP 1 (13)	Indo-Pacific	Duncan et al. 2006
HAP 2 (14)	Indo-Pacific	Duncan et al. 2006
HAP 4 (1)	Indo-Pacific	Duncan et al. 2006
HAP 8 (1)	Indo-Pacific	Duncan et al. 2006
HAP 9 (4)	Indo-Pacific	Duncan et al. 2006
HAP 10 (3)	W. Atlantic	Duncan et al. 2006
HAP 12 (3)	W. Atlantic (Brazil)	Duncan et al. 2006
HAP 13 (1)	E. Atlantic (West Africa)	Duncan et al. 2006
HAP 14 (6)	E. Atlantic (West Africa)	Duncan et al. 2006
HAP 15 (4)	W. Atlantic (U.S.A., Caribbean, Brazil)	Duncan et al. 2006
HAP 19 (4)	Indo-Pacific	Duncan et al. 2006
HAP 23 (1)	Indo-Pacific	Duncan et al. 2006
HAP 26 (2)	W. Atlantic (Caribbean)	this study
2 NOVEL ATLANTIC (2)	N/A	this study
3 NOVEL INDO-PACIFIC (3)	N/A	this study

Table 2: Population differentiation among Western Atlantic *Sphyrna lewini* collected in four regions: U.S. Atlantic (USATL, n = 53), U.S. Gulf of Mexico (USGOM, n = 45), Central American Caribbean (CACAR [Belize and Panama], n = 22) and Brazil (BRAZIL, n = 57). Numbers above diagonal show average pairwise nucleotide divergence between populations (Jukes Cantor distance). Numbers below diagonal show pairwise  $\Phi_{ST}$  between populations, with values significantly different from zero bolded ( $p < 0.000001$ ). Only the USATL-USGOM pairwise  $\Phi_{ST}$  was not significantly from zero ( $p > 0.62$ ).

	<b>USATL</b>	<b>USGOM</b>	<b>CACAR</b>	<b>BRAZIL</b>
<b>USATL</b>	*	0.00073	0.00515	0.00410
<b>USGOM</b>	-0.01	*	0.00525	0.00396
<b>CACAR</b>	<b>0.68</b>	<b>0.69</b>	*	0.00364
<b>BRAZIL</b>	<b>0.88</b>	<b>0.91</b>	<b>0.62</b>	*

Table 3: *Sphyrna lewini* mixed-stock analysis (MSA) simulation results comparing the concordance between known, user-specified mitochondrial stock contributions and mean reconstructed mitochondrial stock contributions based on stock-specific haplotype frequencies observed in this study. N = northern stock, C = central stock, S = southern stock (see text).

<b>User-specified contributions</b>	<b>Mean reconstructed contributions (std. dev)</b>
33% N, 33% C, 33% S	33.2% N (5.7%), 33.4% C (5.9%), 33.6% S (4.9%)
90% N, 5% C, 5% S	90.0% N (3.2%), 4.97 % C (2.5%), 4.98% S (2.2%)
5% N, 90% C, 5% S	4.90% N (4.1%), 90.1% C (4.7%), 5.1% S (2.2%)
5% N, 5% C, 90% S	5.02% N (2.8%), 4.96% C (2.5%), 90.2% S (3.2%)

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